# Dive into Diffusion Model: DDPM to DDPO

YAI 생성논문팀 분리세션

24.09.11 / 14기 김민규

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### Intro

### YONSEI ARTIFICIAL INTELLIGENCE | YAI



#### **Our Team**



#### 생성 논문

:≡ 활동기간

14기 가을전반기

≡ 팀설명

생성모델의 세계를 논문과 강의로 탐험하며, AI의 미래를 한 걸음씩 그려나갑니다.

22 Members

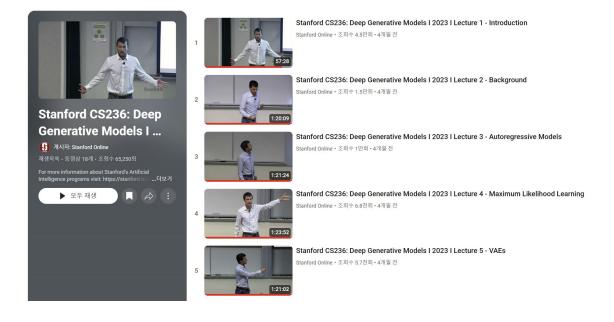
🙎 (공과대학 건설환경공학) 고현아 🔕 김민규 윤 윤찬용 📵 김성은 이 이상훈

🙎 (공과대학 신소재공학) 양준호

🥦 댓글 추가

#### 커리큘럼

주차	일자	내용	비고	발제자
1주차	24.09.02	CS236 Week 2~6 + GAN	https://arxiv.org/abs/1406.2661	고현아
2주차	24.09.10	DDPM	https://arxiv.org/abs/2006.11239	이상훈



#### **Generative Adversarial Nets**

Ian J. Goodfellow, Jean Pouget-Abadie; Mehdi Mirza, Bing Xu, David Warde-Farley, Sherjil Ozair; Aaron Courville, Yoshua Bengio<sup>‡</sup>

#### YONSEI ARTIFICIAL INTELLIGENCE | YAI



#### **Our Team**



#### 생성 논문

표 일동기간

14기 가을전반기

를 팀실명

비어 있음

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나는 지금 Yonsei Artificial Intelligence (YAI)라는 학회에서 활동 중이고, 이번 가을 전반기 세션은 생성 논문 팀에서 활동하게 됐어. 우리팀의 활동 내용은 아래와 같아.

- 생성모델 관련 기초부터 심화 논문을 읽고, 이를 매주마다 돌아가며 발제한다.
- Stanford CS236 강의를 보며 이를 리뷰한다.

팀 설명이 아직 정해지지 않아서 한 문장으로 간단하게 채워볼까 하는데, 어떻게 적으면 재치있으면서도 우리의 활동이 잘 드러나게 적을 수 있을지 알려줘!

#### 등 메모리 업데이트됨

팀 설명에 재치 있으면서도 활동 내용을 잘 담으려면, 이런 문장을 고려해볼 수 있을 것 같아:

"생성모델의 세계를 논문과 강의로 탐험하며, AI의 미래를 한 걸음씩 그려나갑니다.'

이 문장은 너희 팀이 논문과 강의를 통해 생성모델을 깊이 있게 탐구하는 활동을 강조하면서도, 탐험하듯 배운다는 재미있는 느낌을 줄 수 있어!

음 좀 구려 보이지만 일단 해볼게. 고마워!

⑤ 네, 마음에 들지 않더라도 새로운 시도를 함께 해보는 것도 좋은 경험이니까요! 혹시 다른 아이디어 가 필요하면 언제든 알려줘. 더 세련된 버전을 고민해볼 수 있어!



#### **Generative Model**

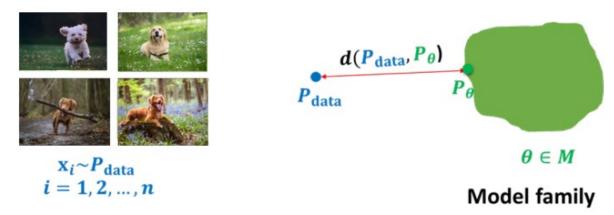


Figure adapted from Stefano Ermon, CS236 (Deep Generative Model), 2023.

- 1) Generation: If we sample  $x_{new} \sim p(x)$ , this new data should look like original one.
- 2) Density Estimation: p(x) should be high only for true x. (Outlier Detection)
- 3) Unsupervised Representation Learning: Be able to learn the data's structure.



#### **Generative Model**

Taxonomy of Generative Models

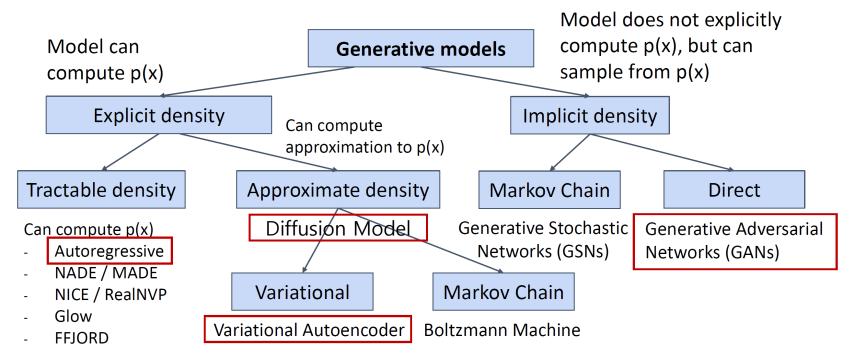


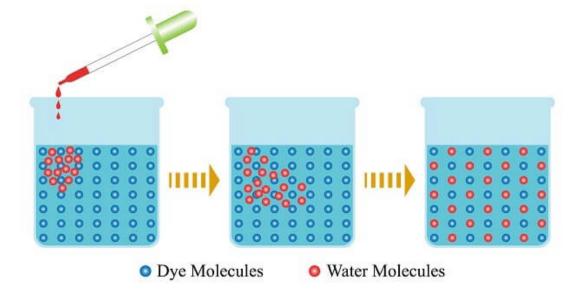
Figure adapted from Ian Goodfellow, Tutorial on Generative Adversarial Networks, 2017.



### **Diffusion Model**

Motivation

### Dynamic Equilibrium of Diffusion

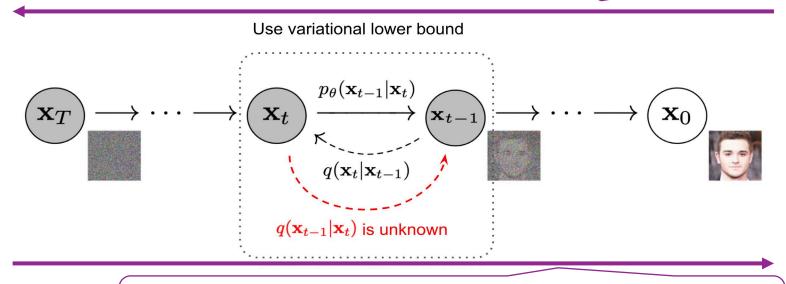




### **Diffusion Model**

Overall Architecture

Forward Diffusion Process = Encoder in VAE (By adding Gaussian Random Noise)

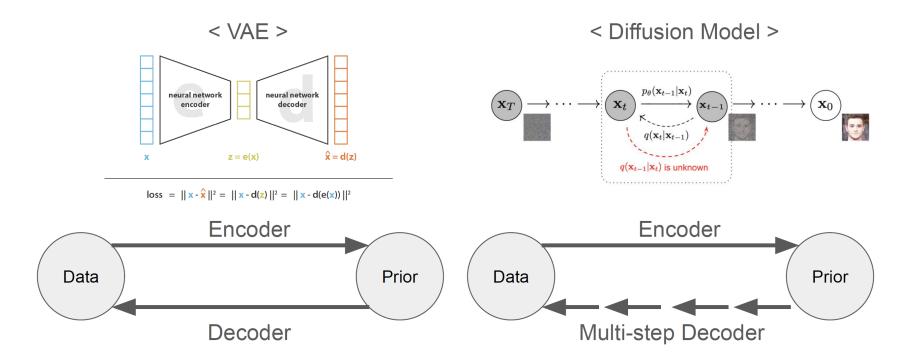


Reverse Diffusion Process (Denoising) = Decoder in VAE (Goal of the Diffusion Model = Denoising DPM (DDPM))



### **Diffusion Model**

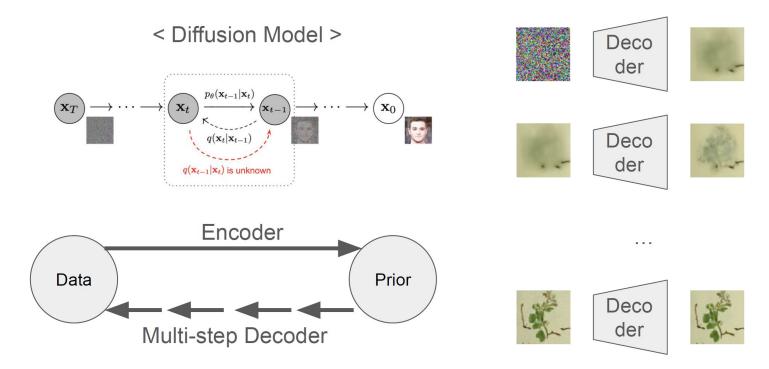
- Q) Why Diffusion Model Works?
- A) Vanilla VAE has single latent variable, while Diffusion Model has 1000 to 4000~Inf latent variables!





### **Diffusion Model**

- Q) Why Diffusion Model Works?
- A) Vanilla VAE has single latent variable, while Diffusion Model has 1000 to 4000~Inf latent variables!



1.

### DDPM





#### **Forward Process**

= Adding small amount of Gaussian noise

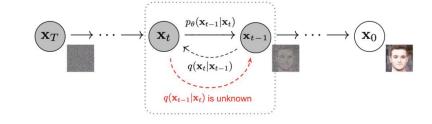
vs. VAE: Not having parameter in encoder

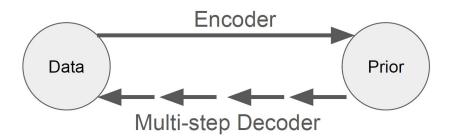
(pre-defined Noise Generator = Hyperparameter!)

$$q(\mathbf{x}_t|\mathbf{x}_{t-1}) = \mathcal{N}(\mathbf{x}_t; \sqrt{1 - \beta_t}\mathbf{x}_{t-1}, \beta_t \mathbf{I})$$
$$q(\mathbf{x}_{1:T}|\mathbf{x}_0) = \prod_{t=1}^T q(\mathbf{x}_t|\mathbf{x}_{t-1})$$



#### < Diffusion Model >







#### **Forward Process**

- Adding small amount of Gaussian noise
   to the sample in T steps, producing a sequence
   of Noisy sampes x\_1 to x\_T. (able in 1-step!)
- $\Re \beta_t$ : (scaling down) variance from previous image

$$q(\mathbf{x}_t|\mathbf{x}_{t-1}) = \mathcal{N}(\mathbf{x}_t; \sqrt{1-eta_t}\mathbf{x}_{t-1}, eta_t\mathbf{I})$$

$$q(\mathbf{x}_{1:T}|\mathbf{x}_0) = \prod_{t=1}^T q(\mathbf{x}_t|\mathbf{x}_{t-1})$$



$$\begin{split} x_t &= \sqrt{\alpha_t} x_{t-1} + \sqrt{1 - \alpha_t} \epsilon, where \, \epsilon \sim N(0, I) \\ &= \sqrt{\alpha_t} \Big( \sqrt{\alpha_{t-1}} x_{t-2} + \sqrt{1 - \alpha_{t-1}} \epsilon \Big) + \sqrt{1 - \alpha_t} \\ &= \sqrt{\alpha_t} \alpha_{t-1} x_{t-2} + \Big( \sqrt{\alpha_t} (1 - \alpha_{t-1}) \epsilon + \sqrt{1 - \alpha_t} \epsilon \Big) \\ &= \sqrt{\alpha_t} \alpha_{t-1} x_{t-2} + \sqrt{1 - \alpha_t} \alpha_{t-1} \epsilon \\ &\dots \\ &\Rightarrow x_t &= \sqrt{\overline{\alpha_t}} x_0 + \sqrt{1 - \overline{\alpha_t}} \epsilon \end{split}$$

$$q(\mathbf{x}_t|\mathbf{x}_0) = \mathcal{N}(\mathbf{x}_t; \sqrt{\bar{\alpha}_t}\mathbf{x}_0, (1 - \bar{\alpha}_t)\mathbf{I}) \quad \text{where, } \alpha_t := 1 - \beta_t, \, \bar{\alpha}_t := \prod_{s=1}^{n} \alpha_s$$

$$q(\mathbf{x}_T|\mathbf{x}_0) = \mathcal{N}(\mathbf{x}_T; \sqrt{\bar{\alpha}_T}\mathbf{x}_0, (1 - \bar{\alpha}_T)\mathbf{I}) \approx \mathcal{N}(\mathbf{x}_T; \mathbf{0}, \mathbf{I})$$



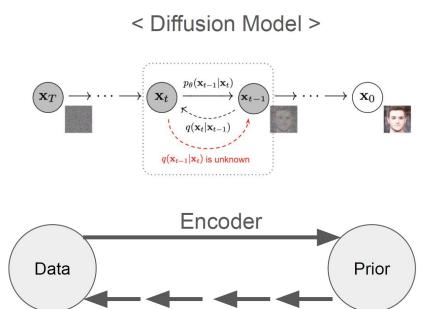
#### **Reverse Process**

Forward = Gaussian // Reverse = ???

In 1949, Feller showed that the reverse will also be Gaussian for very small variance  $\beta > 0$ .

Then, how can we estimate it?

$$p_{\theta}(\mathbf{x}_{t-1}|\mathbf{x}_t) = \mathcal{N}(\mathbf{x}_{t-1}; \boldsymbol{\mu}_{\theta}(\mathbf{x}_t, t), \boldsymbol{\Sigma}_{\theta}(\mathbf{x}_t, t))$$



Multi-step Decoder



### **Reverse Process**

$$\begin{split} q(\mathbf{x}_{t-1}|\mathbf{x}_{t},\mathbf{x}_{0}) &= q(\mathbf{x}_{t}|\mathbf{x}_{t-1},\mathbf{x}_{0}) \frac{q(\mathbf{x}_{t-1}|\mathbf{x}_{0})}{q(\mathbf{x}_{t}|\mathbf{x}_{0})} \\ &\propto \exp\left(-\frac{1}{2}\left(\frac{(\mathbf{x}_{t}-\sqrt{\alpha_{t}}\mathbf{x}_{t-1})^{2}}{\beta_{t}} + \frac{(\mathbf{x}_{t-1}-\sqrt{\bar{\alpha}_{t-1}}\mathbf{x}_{0})^{2}}{1-\bar{\alpha}_{t-1}} - \frac{(\mathbf{x}_{t}-\sqrt{\bar{\alpha}_{t}}\mathbf{x}_{t})^{2}}{1-\bar{\alpha}_{t}}\right)\right) \\ &= \exp\left(-\frac{1}{2}\left(\frac{\mathbf{x}_{t}^{2}-2\sqrt{\alpha_{t}}\mathbf{x}_{t}\mathbf{x}_{t-1}+\alpha_{t}\mathbf{x}_{t-1}^{2}}{\beta_{t}} + \frac{\mathbf{x}_{t-1}^{2}-2\sqrt{\bar{\alpha}_{t-1}}\mathbf{x}_{0}\mathbf{x}_{t-1}+\bar{\alpha}_{t-1}\mathbf{x}_{0}^{2}}{1-\bar{\alpha}_{t-1}} - \frac{(\mathbf{x}_{t}-\sqrt{\bar{\alpha}_{t}}\mathbf{x}_{t})^{2}}{1-\bar{\alpha}_{t}}\right)\right) \\ &= \exp\left(-\frac{1}{2}\left(\left(\frac{\alpha_{t}}{\beta_{t}} + \frac{1}{1-\bar{\alpha}_{t-1}}\right)\mathbf{x}_{t-1}^{2} - \left(\frac{2\sqrt{\alpha_{t}}}{\beta_{t}}\mathbf{x}_{t} + \frac{2\sqrt{\bar{\alpha}_{t-1}}}{1-\bar{\alpha}_{t-1}}\mathbf{x}_{0}\right)\mathbf{x}_{t-1} + C(\mathbf{x}_{t},\mathbf{x}_{0})\right)\right) \\ &= \tilde{\beta}_{t} = 1/\left(\frac{\alpha_{t}}{\beta_{t}} + \frac{1}{1-\bar{\alpha}_{t-1}}\right) = 1/\left(\frac{\alpha_{t}-\bar{\alpha}_{t}+\beta_{t}}{\beta_{t}(1-\bar{\alpha}_{t-1})}\right) = \frac{1-\bar{\alpha}_{t-1}}{1-\bar{\alpha}_{t}} \cdot \beta_{t} \\ &\tilde{\mu}_{t}(\mathbf{x}_{t},\mathbf{x}_{0}) = \left(\frac{\sqrt{\alpha_{t}}}{\beta_{t}}\mathbf{x}_{t} + \frac{\sqrt{\bar{\alpha}_{t-1}}}{1-\bar{\alpha}_{t-1}}\mathbf{x}_{0}\right) / \left(\frac{\alpha_{t}}{\beta_{t}} + \frac{1}{1-\bar{\alpha}_{t-1}}\right) \\ &= \left(\frac{\sqrt{\alpha_{t}}}{\beta_{t}}\mathbf{x}_{t} + \frac{\sqrt{\bar{\alpha}_{t-1}}}{1-\bar{\alpha}_{t-1}}\mathbf{x}_{0}\right) \cdot \frac{1-\bar{\alpha}_{t-1}}{1-\bar{\alpha}_{t}} \cdot \beta_{t} \\ &= \frac{\sqrt{\alpha_{t}}(1-\bar{\alpha}_{t-1})}{1-\bar{\alpha}_{t}}\mathbf{x}_{t} + \frac{\sqrt{\bar{\alpha}_{t-1}}\beta_{t}}{1-\bar{\alpha}_{t}}\mathbf{x}_{0}}{1-\bar{\alpha}_{t}}\mathbf{x}_{0}} \end{split}$$





#### **Reverse Process**

(\*) Recall that when we merge two Gaussians with different variance,  $\mathcal{N}(\mathbf{0}, \sigma_1^2 \mathbf{I})$  and  $\mathcal{N}(\mathbf{0}, \sigma_2^2 \mathbf{I})$ , the new distribution is  $\mathcal{N}(\mathbf{0}, (\sigma_1^2 + \sigma_2^2)\mathbf{I})$ . Here the merged standard deviation is  $\sqrt{(1-\alpha_t) + \alpha_t(1-\alpha_{t-1})} = \sqrt{1-\alpha_t\alpha_{t-1}}$ .

Thanks to the <u>nice property</u>, we can represent  $\mathbf{x}_0 = \frac{1}{\sqrt{\bar{\alpha}_t}}(\mathbf{x}_t - \sqrt{1 - \bar{\alpha}_t}\boldsymbol{\epsilon}_t)$  and plug it into the above equation and obtain:

$$\begin{split} \tilde{\boldsymbol{\mu}}_t &= \frac{\sqrt{\alpha_t}(1-\bar{\alpha}_{t-1})}{1-\bar{\alpha}_t} \mathbf{x}_t + \frac{\sqrt{\bar{\alpha}_{t-1}}\beta_t}{1-\bar{\alpha}_t} \frac{1}{\sqrt{\bar{\alpha}_t}} (\mathbf{x}_t - \sqrt{1-\bar{\alpha}_t}\boldsymbol{\epsilon}_t) \\ &= \frac{1}{\sqrt{\alpha_t}} \left( \mathbf{x}_t - \frac{1-\alpha_t}{\sqrt{1-\bar{\alpha}_t}} \boldsymbol{\epsilon}_t \right) \quad \alpha_t = 1-\beta_t \text{ and } \bar{\alpha}_t = \prod_{i=1}^t \alpha_i : \end{split}$$



### **Reverse Process**

$$\left[ \mathbb{E}_{q} \left[ \underbrace{D_{\mathrm{KL}}(q(\mathbf{x}_{T}|\mathbf{x}_{0}) \parallel p(\mathbf{x}_{T}))}_{L_{T}} + \sum_{t>1} \underbrace{D_{\mathrm{KL}}(q(\mathbf{x}_{t-1}|\mathbf{x}_{t},\mathbf{x}_{0}) \parallel p_{\theta}(\mathbf{x}_{t-1}|\mathbf{x}_{t}))}_{L_{t-1}} \underbrace{-\log p_{\theta}(\mathbf{x}_{0}|\mathbf{x}_{1})}_{L_{0}} \right] \right]$$

Thanks to the <u>nice property</u>, we can represent  $\mathbf{x}_0 = \frac{1}{\sqrt{\bar{\alpha}_t}}(\mathbf{x}_t - \sqrt{1 - \bar{\alpha}_t}\boldsymbol{\epsilon}_t)$  and plug it into the above equation and obtain:

$$\begin{split} \tilde{\boldsymbol{\mu}}_t &= \frac{\sqrt{\alpha_t}(1-\bar{\alpha}_{t-1})}{1-\bar{\alpha}_t} \mathbf{x}_t + \frac{\sqrt{\bar{\alpha}_{t-1}}\beta_t}{1-\bar{\alpha}_t} \frac{1}{\sqrt{\bar{\alpha}_t}} (\mathbf{x}_t - \sqrt{1-\bar{\alpha}_t}\boldsymbol{\epsilon}_t) \\ &= \frac{1}{\sqrt{\alpha_t}} \Big( \mathbf{x}_t - \frac{1-\alpha_t}{\sqrt{1-\bar{\alpha}_t}} \boldsymbol{\epsilon}_t \Big) \\ L_t &= D_{\mathrm{KL}}(q(\mathbf{x}_t|\mathbf{x}_{t+1},\mathbf{x}_0) \parallel p_{\theta}(\mathbf{x}_t|\mathbf{x}_{t+1})) \text{ for } 1 \leq t \leq T-1 \end{split}$$
KL Divergence between two Gaussian Distribution



#### **Reverse Process**

The loss term  $L_t$  is parameterized to minimize the difference from  $\tilde{\boldsymbol{\mu}}$  :

$$\begin{split} L_t &= \mathbb{E}_{\mathbf{x}_0,\epsilon} \Big[ \frac{1}{2\|\mathbf{\Sigma}_{\theta}(\mathbf{x}_t,t)\|_2^2} \|\tilde{\boldsymbol{\mu}}_t(\mathbf{x}_t,\mathbf{x}_0) - \boldsymbol{\mu}_{\theta}(\mathbf{x}_t,t)\|^2 \Big] \\ &= \mathbb{E}_{\mathbf{x}_0,\epsilon} \Big[ \frac{1}{2\|\mathbf{\Sigma}_{\theta}\|_2^2} \|\frac{1}{\sqrt{\alpha_t}} \Big(\mathbf{x}_t - \frac{1-\alpha_t}{\sqrt{1-\bar{\alpha}_t}} \boldsymbol{\epsilon}_t \Big) - \frac{1}{\sqrt{\alpha_t}} \Big(\mathbf{x}_t - \frac{1-\alpha_t}{\sqrt{1-\bar{\alpha}_t}} \boldsymbol{\epsilon}_{\theta}(\mathbf{x}_t,t) \Big) \|^2 \Big] \\ &= \mathbb{E}_{\mathbf{x}_0,\epsilon} \Big[ \frac{(1-\alpha_t)^2}{2\alpha_t(1-\bar{\alpha}_t)\|\mathbf{\Sigma}_{\theta}\|_2^2} \|\boldsymbol{\epsilon}_t - \boldsymbol{\epsilon}_{\theta}(\mathbf{x}_t,t)\|^2 \Big] \\ &= \mathbb{E}_{\mathbf{x}_0,\epsilon} \Big[ \frac{2\alpha_t(1-\bar{\alpha}_t)\|\mathbf{\Sigma}_{\theta}\|_2^2}{2\alpha_t(1-\bar{\alpha}_t)\|\mathbf{\Sigma}_{\theta}\|_2^2} \|\boldsymbol{\epsilon}_t - \boldsymbol{\epsilon}_{\theta}(\sqrt{\bar{\alpha}_t}\mathbf{x}_0 + \sqrt{1-\bar{\alpha}_t}\boldsymbol{\epsilon}_t,t)\|^2 \Big] \end{split}$$

Algorithm 1 Training	Algorithm 2 Sampling			
1: repeat 2: $\mathbf{x}_0 \sim q(\mathbf{x}_0)$ 3: $t \sim \text{Uniform}(\{1, \dots, T\})$ 4: $\epsilon \sim \mathcal{N}(0, \mathbf{I})$ 5: Take gradient descent step on $\nabla_{\theta} \  \epsilon - \epsilon_{\theta} (\sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \epsilon, t) \ ^2$ 6: until converged	1: $\mathbf{x}_{T} \sim \mathcal{N}(0, \mathbf{I})$ 2: <b>for</b> $t = T, \dots, 1$ <b>do</b> 3: $\mathbf{z} \sim \mathcal{N}(0, \mathbf{I})$ if $t > 1$ , else $\mathbf{z} = 0$ 4: $\mathbf{x}_{t-1} = \frac{1}{\sqrt{\alpha_{t}}} \left( \mathbf{x}_{t} - \frac{1-\alpha_{t}}{\sqrt{1-\alpha_{t}}} \boldsymbol{\epsilon}_{\theta}(\mathbf{x}_{t}, t) \right) + \sigma_{t} \mathbf{z}$ 5: <b>end for</b> 6: <b>return</b> $\mathbf{x}_{0}$			





### **Results**



Figure 3: LSUN Church samples. FID=7.89



Figure 4: LSUN Bedroom samples. FID=4.90

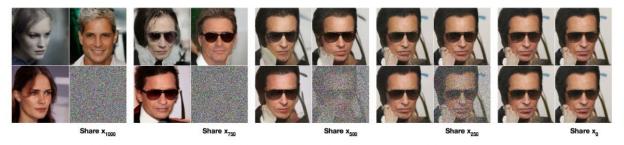


Figure 7: When conditioned on the same latent, CelebA-HQ  $256 \times 256$  samples share high-level attributes. Bottom-right quadrants are  $\mathbf{x}_t$ , and other quadrants are samples from  $p_{\theta}(\mathbf{x}_0|\mathbf{x}_t)$ .

2.

LDM



### **Motivation**

2. LDM

DDPM works well compared with VAE!

Con) The dimension does not change.

- = Computationally inefficient
- = Inflexible Generation

Stable Diffusion arises here! (by LDM)

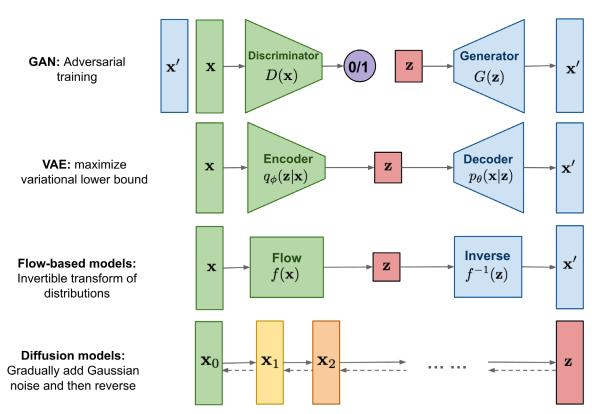


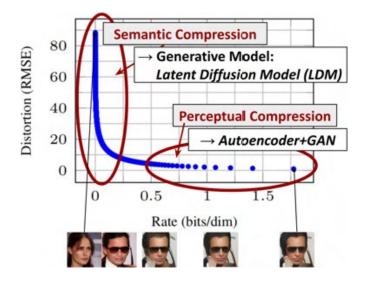
Figure adapted from Weng et al., What are Diffusion Models?, 2021.

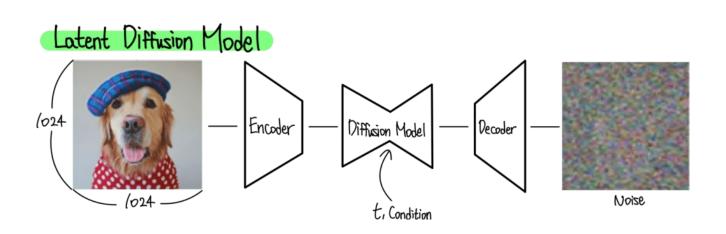




#### **Motivation**

- Q. How can we reduce the training and inference cost?
- A. Conduct main task of feature extracting (= Semantic Compression) with lowered dimension, and do remaining task (= Perceptual Compression) using Autoencoder while lowering dimension!







### 2. LDM

#### **Architecture**

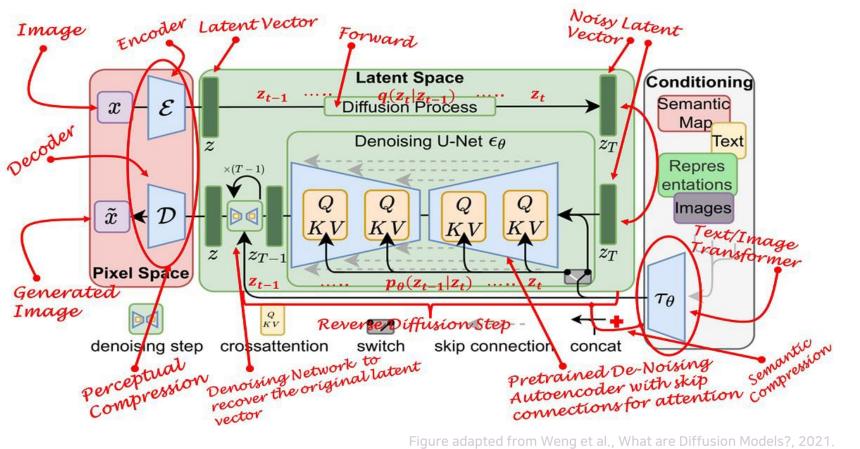


Figure adapted from Weng et al., What are Diffusion Models?, 2021.

### 2. LDM



### **Results**

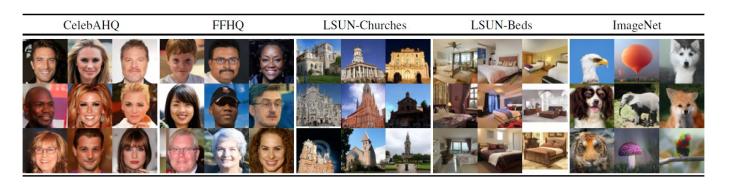
CelebA-F	HQ $256 \times$	256		FFHO	$Q 256 \times 25$	6	
Method	FID↓	Prec. ↑	Recall ↑	Method FID		Prec. ↑	Recall ↑
DC-VAE [63]	15.8	-	-	ImageBART [21]	9.57	-	-
VQGAN+T. [23] (k=400)	10.2	-	-	U-Net GAN (+aug) [77]	10.9 (7.6)	-	-
PGGAN [39]	8.0	-	-	UDM [43]	5.54	-	-
LSGM [93]	7.22	-	-	StyleGAN [41]	<u>4.16</u>	<u>0.71</u>	0.46
UDM [43]	<u>7.16</u>	-	-	ProjectedGAN [76]	3.08	0.65	0.46
<i>LDM-4</i> (ours, 500-s†)	5.11	0.72	0.49	<i>LDM-4</i> (ours, 200-s)	4.98	0.73	0.50
LSUN-Churches $256 \times 256$				LSUN-Bedrooms $256 \times 256$			
Method	FID↓	Prec. ↑	Recall ↑	Method	FID↓	Prec. ↑	Recall ↑
DDPM [30]	7.89	-	-	ImageBART [21]	5.51	-	-
ImageBART [21]	7.32	-	-	DDPM [30]	4.9	-	-
PGGAN [39]	6.42	-	-	UDM [43]	4.57	_	-
StyleGAN [41]	4.21	_	-	StyleGAN [41]	2.35	0.59	0.48
StyleGAN2 [42]	3.86	_	-	ADM [15] 1.90		0.66	0.51
ProjectedGAN [76]	1.59	0.61	0.44	ProjectedGAN [76] 1.52 0.61		0.34	
<i>LDM-8</i> * (ours, 200-s)	4.02	0.64	0.52	<i>LDM-4</i> (ours, 200-s) 2.95 <b>0.66</b> 0.48		0.40	

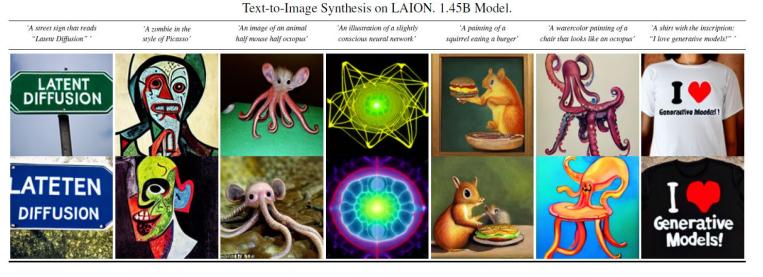
Text-Conditional Image Synthesis							
Method	FID↓	IS↑	$N_{params}$				
CogView <sup>†</sup> [17]	27.10	18.20	4B	self-ranking, rejection rate 0.017			
LAFITE <sup>†</sup> [109]	26.94	26.02	75M				
GLIDE* [59]	12.24	-	6B	277 DDIM steps, c.f.g. [32] $s = 3$			
Make-A-Scene* [26]	11.84	-	4B	c.f.g for AR models [98] $s=5$			
LDM-KL-8 LDM-KL-8-G*	23.31 12.63	$20.03 \pm 0.33$ $30.29 \pm 0.42$	1.45B 1.45B	250 DDIM steps 250 DDIM steps, c.f.g. [32] $s=1.5$			

### 2. LDM



### **Results**





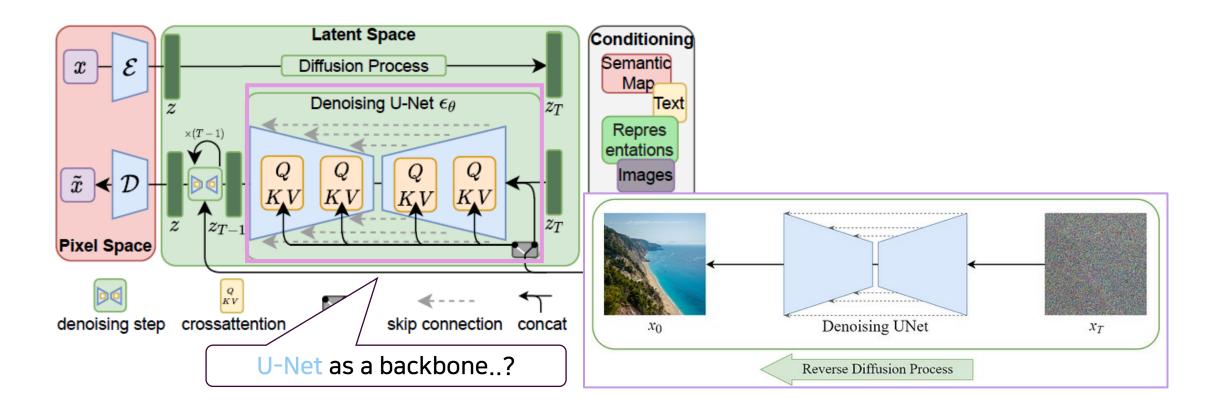


3.

DiT



### **LDM Revisiting**





### **LDM Revisiting**

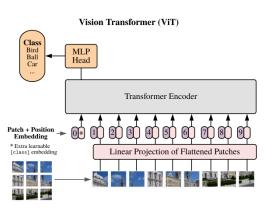
DDPM uses U-Net backbone for reverse diffusion process, and so does LDM.

However, U-Net's inductive bias is not crucial to the performance of Diffusion Model.



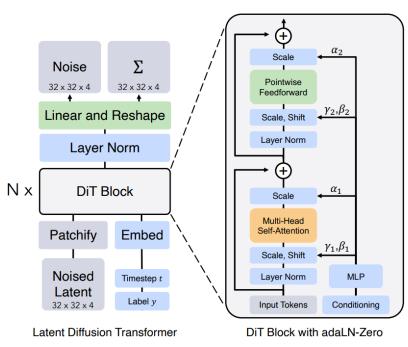
#### Diffusion Transformer (DiT)

- = Adapts Vision Transfomer (ViT) architecture that operates on latent patches
- = Can inherit best practices and training methods from other domains
- = Retains scalability, robustness, and efficiency





### **Architecture**



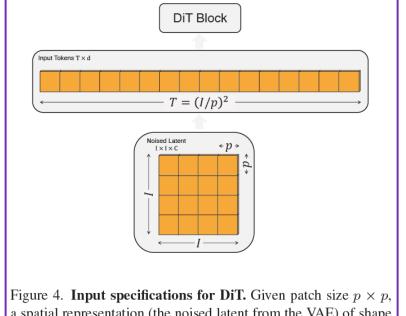
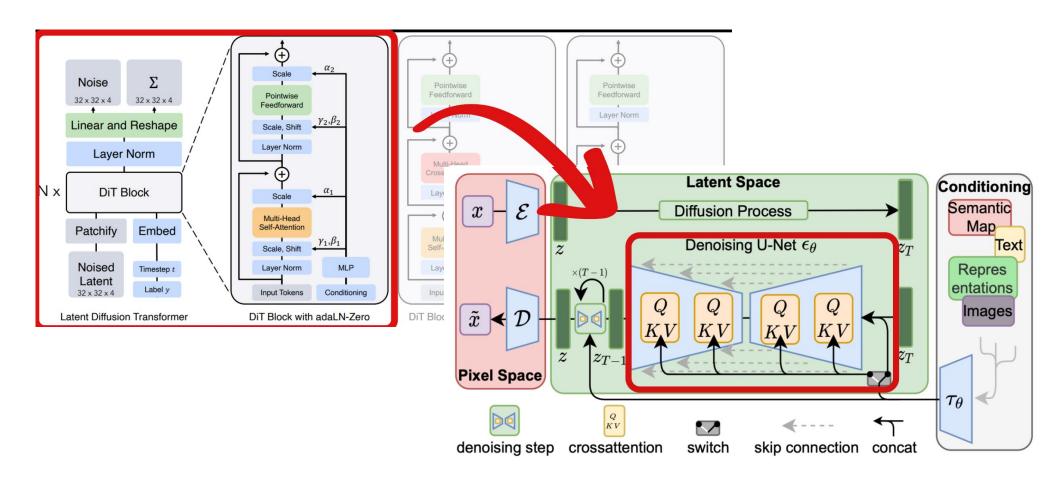


Figure 4. Input specifications for DiT. Given patch size  $p \times p$ , a spatial representation (the noised latent from the VAE) of shape  $I \times I \times C$  is "patchified" into a sequence of length  $T = (I/p)^2$  with hidden dimension d. A smaller patch size p results in a longer sequence length and thus more Gflops.



### **Architecture**

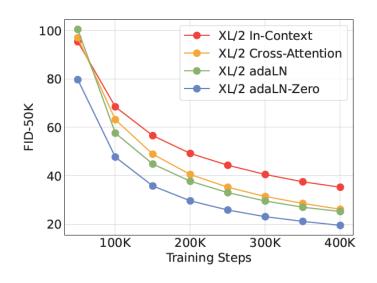


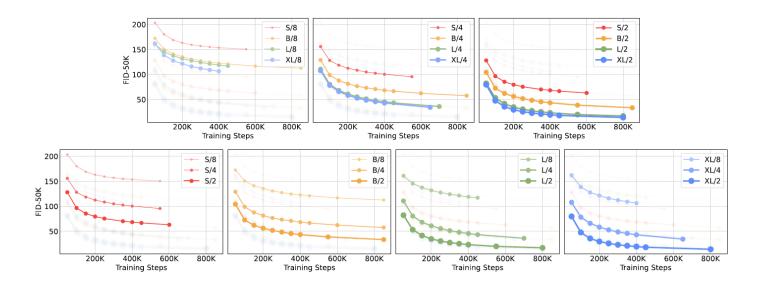




### **Results**

\* FID (Frechet Inception Distance): Metric for feature distance between real & generated images





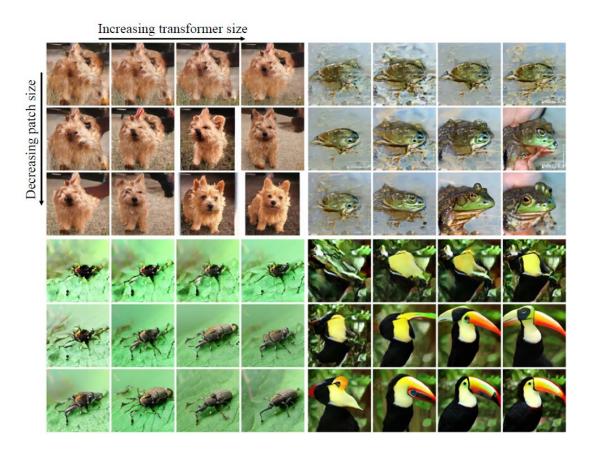




### **Results**

Class-Conditional ImageNet 256×256							
Model	FID↓	sFID↓	IS↑	Precision <sup>†</sup>	Recall <sup>†</sup>		
BigGAN-deep [2]	6.95	7.36	171.4	0.87	0.28		
StyleGAN-XL [53]	2.30	4.02	265.12	0.78	0.53		
ADM [9]	10.94	6.02	100.98	0.69	0.63		
ADM-U	7.49	5.13	127.49	0.72	0.63		
ADM-G	4.59	5.25	186.70	0.82	0.52		
ADM-G, ADM-U	3.94	6.14	215.84	0.83	0.53		
CDM [20]	4.88	-	158.71	-	-		
LDM-8 [48]	15.51	-	79.03	0.65	0.63		
LDM-8-G	7.76	-	209.52	0.84	0.35		
LDM-4	10.56	-	103.49	0.71	0.62		
LDM-4-G (cfg=1.25)	3.95	-	178.22	0.81	0.55		
LDM-4-G (cfg=1.50)	3.60	-	247.67	0.87	0.48		
DiT-XL/2	9.62	6.85	121.50	0.67	0.67		
<b>DiT-XL/2-G</b> (cfg=1.25)	3.22	5.28	201.77	0.76	0.62		
<b>DiT-XL/2-G</b> (cfg=1.50)	2.27	4.60	278.24	0.83	0.57		

Table 2. **Benchmarking class-conditional image generation on ImageNet 256**×**256.** DiT-XL/2 achieves state-of-the-art FID.



4.

### DDPO



### **Motivation**

Goal: Train generative models to generate certain condition of images

satisfying Aesthetic Quality and Compressibility

**Pretrained** 





**Aesthetic Quality** 



Compressibility



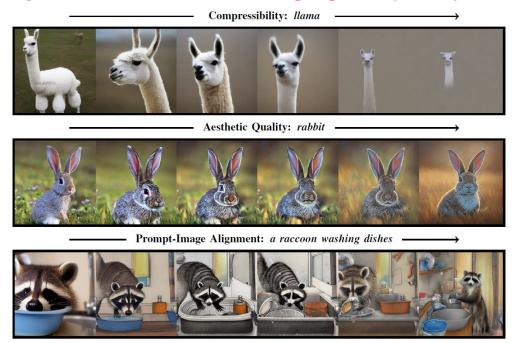




#### **Motivation**

Goal: Train generative models to generate certain condition of images satisfying Aesthetic Quality and Compressibility

One Possible Approach: Train generative models to align given prompts using RL concept!

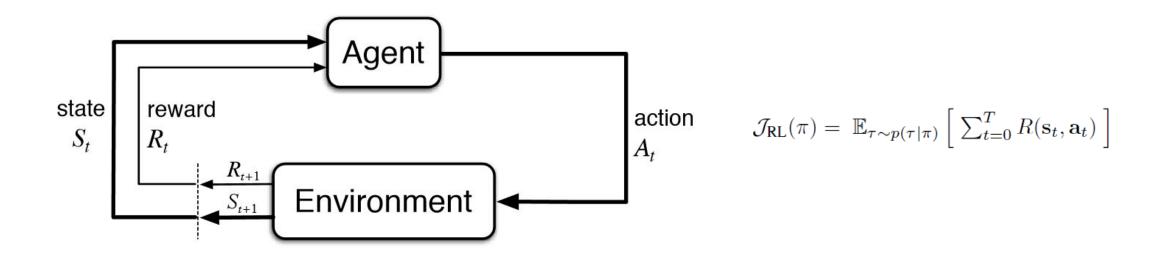




### **Motivation**

#### Markov Decision Process (MDP)

An agent acts according to a policy  $\pi(a|s)$ , and trajectories are  $\tau=(s_0,a_0,...,s_\tau,a_\tau)$ .





#### **Diffusion as MDP**

**Denoising as a multi-step MDP.** We map the iterative denoising procedure to the following MDP:

$$\mathbf{s}_{t} \triangleq (\mathbf{c}, t, \mathbf{x}_{t}) \quad \pi(\mathbf{a}_{t} \mid \mathbf{s}_{t}) \triangleq p_{\theta}(\mathbf{x}_{t-1} \mid \mathbf{x}_{t}, \mathbf{c}) \qquad P(\mathbf{s}_{t+1} \mid \mathbf{s}_{t}, \mathbf{a}_{t}) \triangleq \left(\delta_{\mathbf{c}}, \delta_{t-1}, \delta_{\mathbf{x}_{t-1}}\right)$$

$$\mathbf{a}_{t} \triangleq \mathbf{x}_{t-1} \qquad \rho_{0}(\mathbf{s}_{0}) \triangleq \left(p(\mathbf{c}), \delta_{T}, \mathcal{N}(\mathbf{0}, \mathbf{I})\right) \qquad R(\mathbf{s}_{t}, \mathbf{a}_{t}) \triangleq \begin{cases} r(\mathbf{x}_{0}, \mathbf{c}) & \text{if } t = 0\\ 0 & \text{otherwise} \end{cases}$$

$$\mathcal{J}_{DDRL}(\theta) = \mathbb{E}_{\mathbf{c} \sim p(\mathbf{c}), \mathbf{x}_0 \sim p_{\theta}(\mathbf{x}_0 | \mathbf{c})} [r(\mathbf{x}_0, \mathbf{c})]$$

- 1) State: Condition (Context, text) c + Diffusion time step t + Image at time step t  $x_t$
- 2) Action: Denoised Image  $x_{t-1}$
- 3) Reward: Only computed in the final image by the given condition  $r(x_0, c)$
- → Optimized by policy gradient estimation



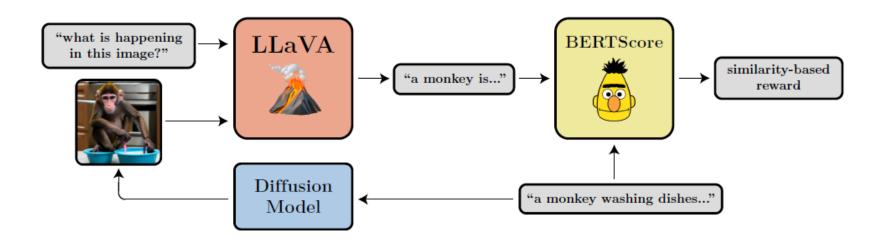
#### **Diffusion as MDP**

#### Ways to set the reward

Aesthetic Quality: LAION aesthetics predictors (trained on 176,000 human ratings)

Compressibility: File size of the image after JPEG compression

Prompt Alignment: Prompt comparison with Vision-Language Model's generated image caption





### **Results**

**Aesthetic Quality** Pretrained Compressibility Incompressibility Aesthetic Quality JPEG Compressibility JPEG Incompressibility

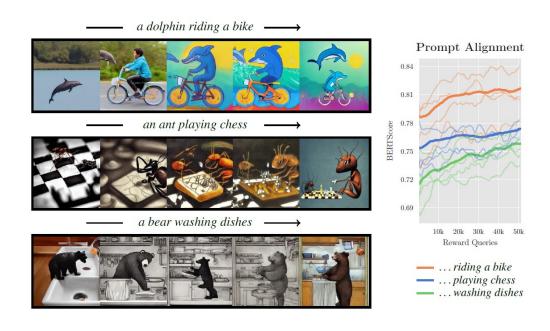
Reward Queries

Reward Queries

— RWR<sub>sparse</sub>

Reward Queries

— DDPO<sub>IS</sub>





### **Results**



#### **Counting Animals**



### 5.

### Summary



### 5. Summary

### **Summary**

### **Diffusion Model**

DDPM (2020)

LDM (2021)

Denoising noise assuming Gaussian

Diffusion + VAE (encoder-decoder)

DiT (2022)

**DDPO (2023)** 

Diffusion + Vision Transformer

Diffusion + Reinforcement Learning

So, what can we do next for Diffusion?



### 5. Summary

#### Reference

Ho et al., <u>Denoising Diffusion Probabilistic Models</u>, 2020. (NeurlPS 2020)

Rombach et al., <u>High-Resolution Image Synthesis with Latent Diffusion Models</u>, 2021. (CVPR 2022)

Peebles et al., Scalable Diffusion Models with Transformers, 2022. (ICCV 2023)

Black et al., <u>Training Diffusion Models with Reinforcement Learning</u>, 2023. (ICLR 2024)

Kyungwoo Song, STA3145 <Reinforcement Learning> Lecture Note, Spring 2024.

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